

Australian Financial Services Licence

AUSTRALIAN SECURE CAPITAL FUND LTD

ACN: 613497635

Licence No: 491201

was licensed as an Australian Financial Services Licensee pursuant to section 913B of the Corporations Act 2001. The conditions of the licence are hereby varied from the date hereunder. The licensee shall continue to be licensed as an Australian Financial Services Licensee subject to the conditions and restrictions which are prescribed, and to the conditions contained in this licence and attached schedules.

Effective 13 October 2023

Authorisation

1. This licence authorises the licensee to carry on a financial services business to:
 - (a) provide general financial product advice for the following classes of financial products:
 - (i) interests in managed investment schemes excluding investor directed portfolio services; and
 - (ii) securities;
 - (b) deal in a financial product by:
 - (i) issuing, applying for, acquiring, varying or disposing of a financial product in respect of the following classes of financial products:
 - (A) interests in managed investment schemes excluding investor directed portfolio services; and
 - (B) securities; and
 - (ii) applying for, acquiring, varying or disposing of a financial product on behalf of another person in respect of the following classes of products:
 - (A) deposit and payment products limited to:
 - (1) basic deposit products;
 - (B) interests in managed investment schemes excluding investor directed portfolio services; and
 - (C) securities;
 - (c) operate the following kinds of registered managed investment schemes (including the holding of any incidental property) in its capacity as responsible entity:
 - (i) schemes which only hold the following types of property:
 - (A) mortgages; and
 - (d) provide the following custodial or depository services:
 - (i) operate custodial or depository services other than investor directed portfolio services;
to retail and wholesale clients.



ASIC
Australian Securities &
Investments Commission